



Optum Idaho Network Audit Tools Update (Calendar Year 2018)

Tool Name	Question	Previous Content	New Content
Case Management Record Tool	<p>#18</p> <p># 25</p> <p>#42</p> <p>#52</p> <p>#53</p>	<p>When risk issues are identified, there is evidence that an initial safety plan has been developed.</p> <p>For clients 12 years and older, a screening is in evidence of use or exposure to alcohol, nicotine, and/or illicit drugs.</p> <p>Progress notes include an ongoing assessment of the member's capacity to complete ADL's.</p> <p>If the client is being seen by another behavioral health clinician, there is documentation that the client/guardian refused consent for the release of information to the behavioral health clinician.</p> <p>There is evidence in the record that, with the member's documented consent, case management is coordinated with agencies and programs, such as school or court system, with which the member is involved.</p>	<p>Question deleted.</p> <p>(question #24) For clients 10 years and older, a screening is in evidence of use or exposure to alcohol, nicotine, and/or illicit drugs.</p> <p>(question #41) Progress notes include an ongoing assessment of the member's capacity to independently access services.</p> <p>(question #51) There is evidence in the record that, with the member's documented consent, providers and other identified services who are currently involved in the care of the member are contacted within 48 hours of initiation of services to obtain all relevant information.</p> <p>(question #52) There is evidence in the record that, with the member's documented consent, case management is coordinated with agencies and programs, such as school, with which the member is involved.</p>
Adult: Community Based Rehabilitation Services (CBRS) Treatment Record Review Tool	NA		
Youth: Community Based Rehabilitation Services (CBRS) Treatment Record Review Tool	#3	A Child and Adolescent Functional Assessment Scale/Preschool and Early Childhood Functional Assessment Scale (CAFAS/PECFAS) is completed at the time of the initial assessment.	The child or adolescent functional assessment appropriate to the member's age and level of functioning was completed at the
Clinician Site Tool	NA		

Peer Support Record Review Tool	<p>#4</p> <p>#12</p> <p>#26</p>	<p>The peer support specialist has the name and contact information for the member's psychiatrist, therapists, treatment counselor, and/or case worker in the record.</p> <p>There is evidence in the contact record of the member's behavioral health clinician (e.g. psychiatrist, social worker, psychologist, counselor, treatment counselor), including contact information</p> <p>There is evidence that the peer support specialist has offered the member access to face to face support.</p>	<p>There is evidence in the contact record of the member's behavioral health clinician (e.g. psychiatrist, social worker, psychologist, counselor, treatment counselor), including contact information</p> <p>Delete question</p> <p>Delete question</p>
Peer Support Site Tool	<p>#41</p>	<p>Verification of appropriate certification for peer support specialists is completed. Certification must be obtained through an approved program (for example, Mountain States Group.) (During initial credentialing, this verification is completed by the network manager).</p>	<p>Verification of appropriate certification for peer support specialists is completed. Certification must be obtained through a Department approved program (During initial credentialing, this verification is completed by the network manager).</p>
Family Support Record Review Tool	<p>#6</p> <p>#13</p>	<p>The CFSP has the name and contact information for the member's psychiatrist, therapists, treatment counselor, and/or case worker in the record.</p> <p>There is evidence in the contact record of the member's behavioral health clinician (e.g. psychiatrist, social worker, psychologist, counselor, treatment counselor), including contact information</p>	<p>There is evidence in the contact record of the member's behavioral health clinician (e.g. psychiatrist, social worker, psychologist, counselor, treatment counselor), including contact information</p> <p>Delete question</p>
Family Support Site Tool	<p>NA</p>		
Organizational Provider Audit Tool	<p>#58</p> <p>#69</p>	<p>Personnel files include: resume, job description, license, and annual evaluations.</p> <p>All Community Based Rehabilitation Specialists (CBRS) must have a relevant license or certification through Psychiatric Rehabilitation Association (PRA). PRA provides the following certification programs: Certified Psychiatric Rehabilitation Practitioner (CPRP) or Children's Psychiatric Rehabilitation Certificate. CBRS Providers may provide services for up to 30 months while obtaining the certification but must show documented efforts towards certification.</p>	<p>Personnel files include: resume, job description, license or certification, and annual evaluations.</p> <p>All Community Based Rehabilitation Specialists (CBRS) must have a relevant license or certification through Psychiatric Rehabilitation Association (PRA). PRA provides the following certification programs: Certified Psychiatric Rehabilitation Practitioner (CPRP), Children's Psychiatric Rehabilitation Certificate (CPRC), and Child and Family Resiliency Practitioner (CFRP). CBRS Providers may provide services for up to 30 months while obtaining the certification but must show documented efforts towards certification.</p>

Treatment Record Review Audit Tool	#37	There is documentation that indicates the member understands and consents to the medication used in treatment.	Moved to progress note section.
	#38	For children and adolescents documentation indicates the responsible family member or guardian understands and consents to the medication used in treatment.	Moved to progress note section.
	#39	Each record indicates what medications have been prescribed, the dosages of each, and the dates of initial prescription or refills.	Moved to progress note section.
	#60	NA	The treatment plan includes a safety plan when active risk issues are identified.
	#71	For all Outpatient Services: All progress notes include documentation of the billing code that was submitted for the session.	(question # 69) For all Outpatient Services: All progress notes include documentation of the billing code, or the specific service, that was provided.
	#96	The documentation in the treatment record identifies functional deficits the member is experiencing.	<i>(combining questions 96 and 97 from 2017 tool)</i> The documentation in the treatment record identifies functional deficits the member is experiencing, and how they will be addressed in the treatment services.
	#97	The documentation in the record indicates how the services that are rendered will address the functional deficits.	Delete question.
	#105	If the member has limited English proficiency, there is documentation that interpreter services were offered.	If the member has limited English proficiency, there is documentation that interpreter services were offered, and whether or not the services were accepted or declined.
#106	If interpreter services were offered, there is documentation indicating whether the member accepted or declined the services.	Delete question.	